Audit Services  
Request for Proposals  

Questions and Answers  
This information may be edited for clarity

February 14, 2020

Q1  What is your most important reason for issuing this RFP?  What does Worksystems hope to improve about the audit of its financial statements in coming years?

A1  As a recipient of federal funds, Worksystems is required by 2 CFR 200.509 to procure for audit services in accordance with 2 CFR 200.317. Worksystems’ goals related to its audited financial statements are to continue to comply with all appropriate accounting guidance and federal regulations.

Q2  Outside of implementing the audit and tax processes, how does the agency define value from its accountants?

A2  Please see the Request for Proposals, Part IV for evaluation criteria.

Q3  What issues or disagreements were experienced with the current auditors at Kern Thompson, if any?  Is the firm eligible for re-engagement?

A3  There have been no issues or disagreements with Kern & Thompson, and they are eligible for re-engagement.

Q4  How soon after year-end are the accounting records available for both interim testing and final audit work, and how does that fit within your anticipated timeline for the audit?

A4  Please see the Request for Proposals, Part III, Timelines.

Q5  When was fieldwork performed for the most recent audit?  How many auditors were in the field for interim and final fieldwork, and for how long?

A5  Fieldwork was performed in early October.  Interim fieldwork was not performed.  Fieldwork consisted of two auditors in the field for five days and the audit partner for one day.

Q6  What significant audit adjustments, if any, were made in the most recent year audited?  If there is an associated letter, please furnish a copy.

A6  There were no significant audit adjustments.

Q7  Has there been any significant change to key staff in the past year which would affect the 2020 audit?

A7  No.

Q8  Are there any significant changes to funding anticipated in 2020?

A8  No, funding is substantially the same as the prior year.

Q9  Please provide a breakout of fees for the past three years of accountancy for the agency.